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ABSTRACT

As computations have increasingly shifted to virtual machines (VMs) running on a hypervisor, the security of the hypervisor is of critical concern. Rust has gained significant traction among developers due to its software safety guarantees and performance efficiency. This work explores building on Rust's safety features to construct a secure KVM hypervisor. We retrofit KVM to incorporate a Rust-based core to protect virtual machines. We build on Rust's type and lifetime system in a novel way to secure the core's memory accesses in a concurrent environment. Our resulting KVM implementation, KrustVM, incorporates a data race and deadlock-free core to protect VM confidentiality and integrity against privileged attackers who control the host Linux kernel while preserving KVM's commodity features and performance.

CCS CONCEPTS

• Security and privacy \rightarrow Virtualization and security.

KEYWORDS

Virtualization, Security, Rust

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1 INTRODUCTION

Rust is an emerging programming language that offers robust security while retaining performance and efficiency. Rust introduces unique features, such as ownership and lifetimes, to effectively address memory safety bugs that programmers may encounter, such as out-of-bound memory access, useafter-free, and double-free. These bugs are prone to occur in unsafe programming languages like C. Rust's compiler checks against rules and properties to enforce its safety features at compile time. Various previous works [4, 10, 12, 14, 34, 48, 60, 67, 68] have adopted Rust to implement core systems software with critical security and performance requirements. Officials have urged [41] adoption of memory safety languages like Rust to eliminate bugs.

Modern systems software supports multiprocessor platforms, and concurrently accesses shared memory resources. Synchronization is necessary to protect the safety of these concurrent memory accesses. Rust enables fearless concurrency [54] by exposing synchronization primitives and message passing APIs to support concurrent programming. Rust provides a synchronization primitive, Mutex, and its API to enable mutual exclusive operations and mitigate data races. Nevertheless, Rust's concurrency API is only available to userspace programs, not low-level and privileged systems software like OS kernels or hypervisors. Even if Rust's concurrency API, like Mutex, is available to systems software, the API cannot satisfy critical security demands. Incorrect usage of Rust's concurrency API could lead to deadlock that compromises safety requirements and functionality. Rust cannot identify errors that double lock the same Mutex.

In addition, Rust's enforced rules are too restrictive for low-level systems software, which requires operations that make memory accesses through raw pointers to manage page

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tables and scrub reclaimed memory pages. These accesses are deemed unsafe by Rust and forbidden by the compiler. Rust allows developers to turn off the compile-time checks using the unsafe keyword, tasking developers to validate the safety of the unsafe code. A bug found in the unsafe usage could compromise the safety guarantee of the entire program. Previous work [9] has disclosed several memory safety bugs from unsafe Rust code.

Hypervisors are privileged software that manages hardware resources to provide the virtual machine (VMs) abstraction and host these VMs. In addition to their prevalent cloud deployment, recent efforts proposed deploying hypervisors to automotive [20] and mobile [26] systems to isolate critical components into VMs. Widely used commodity hypervisors, such as KVM [31] or Hyper-V [46], include a large and complex trusted computing base (TCB) to satisfy users' requirements in performance and functionality. For example, KVM and Hyper-V each integrate a privileged OS kernel in its TCB. These hypervisors were written in unsafe languages like C, making them vulnerable to memory safety bugs. Attackers that exploit vulnerabilities in the hypervisor TCB may gain the ability to steal or modify secret VM data or compromise the VM's execution.

This work explores building on Rust's unique language features to construct a secure Linux/KVM hypervisor. Instead of rewriting the entire KVM with Rust, we restructured KVM to incorporate a small Rust-based TCB (denoted as *Rcore*) to protect VM confidentiality and integrity against the untrusted host Linux kernel in KVM. On top of Rust's existing memory safety guarantees, we leverage Rust's unique language features to further secure Rcore's memory accesses in a concurrent environment.

To address the limitations of Rust's concurrency API, we introduced KMutex, a new Rust type that implements the semantics of Rust's Mutex. Unlike Mutex, KMutex can be used by low-level systems software like hypervisors. Incorporating the design of Reference Getter Function (RGF), KMutex enables the Rust compiler to detect deadlock at compile time. Programmers can associate a given data object type with an intended KMutex. We assign a unique KMutex instance to each shared object and abstract accesses to an object in its RGF. KMutex provides a safe lock method to provide a secure way to access the wrapped shared data. Accesses can be made via the lock method, including reading or writing to the shared object. An RGF invokes the lock method of the object's associated KMutex; it returns a reference to the wrapped object when its respective KMutex is acquired. The method incorporates the underlying architecture's synchronization primitive that guarantees exclusive access at the hardware level.

KMutex and RGFs are employed to secure concurrent memory accesses in low-level software. We leverage Rust's

lifetime and ownership system in a novel way, enabling compiletime checks that guarantee lock ordering and prevent double locking the same KMutex. We mandate that all access to shared objects occurs exclusively through RGFs, and we implement a static approach to enforce consistent ordering across all RGF invocations. These measures, combined with KMutex's built-in double locking prevention features, ensure that KMutex usages do not cause deadlocks, thus guaranteeing deadlock freedom. Furthermore, by restricting access to shared objects solely through RGFs, we ensure that accesses to shared objects in the software can only occur when the respective KMutex is held, thus guaranteeing data race freedom.

We further introduce the customized *Safe Pointer* types to hold raw pointers to secure their accesses. Safe pointers ensure that each designated type can exclusively store pointers used against the memory region containing the corresponding data. Raw pointer accesses in Rcore are guarded by the *Safe Pointer* types to ensure addresses lie in the intended memory region. An address-bound check is rigorously enforced inside those types during construction. The check is performed against the addresses that the raw pointers point to. This hardens against raw pointer exploitation since memory accesses can only be made with the raw pointer if the check is validated; out-of-bounds memory accesses are rejected. For instance, when Rcore uses raw pointers to update page tables, we ensure that Rcore never mistakenly updates VM execution state metadata.

We leverage a previous design [36] and utilize Arm's hardware virtualization extensions to retrofit KVM in Linux 5.15 into KrustVM. By employing the intended usage pattern of KMutex and RGFs, we secure Rcore's concurrently accesses to shared memory objects to ensure data race and deadlock freedom while utilizing fine-grained locking to optimize performance. We adopt a modular-based design to construct Rcore to leverage Rust's memory security guarantees. We use Safe Pointer types to secure Rcore's memory accesses. KrustVM supports multiprocessor VMs running on Arm64 multiprocessor hardware while retaining KVM's commodity features. Performance evaluation of KrustVM shows that it incurs modest overhead to application workloads compared to mainline KVM and the C-based secure KVM implementation that adopts the same design [36]. We demonstrate the practicality of securing a widely adopted commodity hypervisor using Rust.

In summary, this paper makes the following contributions:

• We leverage Rust's language features to extend its safety guarantees beyond memory safety. We developed a specialized KMutex type that, when adopted, restricts the program's access to shared memory through its interface. This restriction ensures deadlock and data race freedom in low-level systems at compile time, even at scale.

- We introduce the Safe Pointer type to securely store raw pointers, ensuring each pointer resides within its designated memory region. By constructing customized Rust types with *Safe Pointers*, we safeguard raw pointer access by enforcing an address-bound check during construction. This mechanism rejects out-of-bound memory accesses.
- We build on KMutex and *Safe Pointers* to construct KrustVM, a Rust-based secure multiprocessor KVM hypervisor that enforces robust VM protection. An evaluation of KrustVM shows that it results in modest performance overhead compared to mainline KVM and a KrustVM counterpart written in C, demonstrating Rust's practicality in low-level system software.

2 BACKGROUND

2.1 The Rust Programming Language

Rust prioritizes safety and speed. Unlike traditional languages like C/C++, Rust eliminates the need for manual memory management to ensure memory safety. Rust does not rely on garbage collection. Instead, it introduces lifetimes and ownership, requiring programmers to follow specific rules. This approach, where programming rules are statically enforced, allows Rust to perform similarly to C. Rust's compiler has complete control over optimizing the machine code it emits. Rust's safety rules also guarantee the absence of memory safety bugs when followed, as the compiler automatically checks and prevents rule violations.

Ownership and Lifetimes. In Rust, each piece of data is said to be *owned* by a single variable, and it is automatically *dropped* (freed) when the variable's *lifetime* ends. A variable's lifetime ends as the program control flow exits the block in which the variable is declared.

Borrowing. Ownership lacks the flexibility in argument passing. A variable can borrow ownership from another variable to acquire a *reference* to the data. Rust's borrowing rule enforces *aliasing xor mutability* meaning there can be multiple shared references or a single exclusive reference. Shared references can only be read and not modified, and exclusive references allow for reading and modifying the value. In Rust, these mutable references that exclusively access the data can be used by declaring the intended data as mutable (using the mut keyword) and then using the &mut keyword to borrow the data mutably.

In Listing 1, line 6 causes a compile error because it tries to create a mutable reference (z) to x, while y already borrowed x immutably. y's lifetime ends on line 9 as it gets used for the last time; therefore, z can be created on line 11 and used on line 12. However, if line 16 is uncommented, y's lifetime

would be extended to line 16, making the creation of z on line 11 break the borrowing rules.

```
1 let mut x = vec![1, 2, 3];
2 let y = &x; // immutable borrow of x
   /* this line would fail to compile
4
      because x is borrowed immutably by v */
5
  let z = &mut x;
6
  println!("x = {:?}", x); // This line works
println!("y = {:?}", y); // This line works
8
9
10
11 let z = &mut x; // mutable borrow of x
12 z.push(4);
13
14 /* this line would fail to compile
15
      because x is borrowed mutably by z \star/
16 // println!("y = {:?}", y);
```

Listing 1: Rust enforces aliasing xor mutability

Drop Traits. Rust traits are properties or interfaces that can be implemented on types; traits typically require the implementing type to supply function implementations for its trait methods. Some traits in Rust have intrinsic meaning to the compiler. For example, the Drop trait tells the compiler that a type has special freeing code, and the Drop trait's drop function should be invoked when an instance of the type's lifetime ends.

2.2 Arm Virtualization Extensions

Arm's Virtualization Extensions (VE) [5] introduced a higher privileged hypervisor mode (EL2) on top of the existing kernel mode (EL1) and user (EL0) mode. Arm VE provides EL2 registers to run software in an isolated execution context and address space from EL1 and EL0 to multiplex the execution context of the host and guest VMs. Arm provides stage 2 page tables (S2PTs) to support memory virtualization. S2PTs only affect the software running in the EL1 and EL0 modes, not EL2. The guest kernel manages the stage 1 page tables (S1PTs) to translate guest virtual addresses (gVAs) to guest physical addresses (gPAs). The hypervisor, e.g. KVM, enables stage 2 page tables when running the VMs, which translate the gPAs (or intermediate physical addresses (IPAs)) to host physical addresses (hPAs) to access the machine's memory. Arm provides an IOMMU, the System Memory Management Unit (SMMU) [6] to prevent Direct Memory Access (DMA) attacks.

3 ASSUMPTIONS AND THREAT MODEL

We assume an attacker or a curious administrator who aims to compromise the integrity and confidentiality of VM data, which includes code or sensitive data stored in the VM's CPU registers, memory, and I/O buffers. VM data exclude generic hardware configuration information, such as the power control and virtual interrupt states, since they do not contain secrets. Like other previous work [36–38, 74], we do not consider attacks against VM availability. We assume attackers have remote access to the hardware. A remote attacker can exploit bugs in the user space VMM and the host kernel integrated with KVM, or control the VM management interface to access VM data. We exclude physical [22] and side-channel attacks from the threat model. We assume a VM does not voluntarily reveal its sensitive data, intentionally or by accident. However, a compromised VM may try to attack other hosted VMs for which we provide protection.

4 OVERVIEW

This section first gives an overview of KrustVM, a multiprocessor KVM hypervisor that integrates a Rust-based TCB called Rcore to protect VMs. We next discuss KMutex, a novel Rust type with lock-safe API that protects concurrent memory accesses. KMutex facilitates the construction of data race-free and deadlock-free low-level systems software like hypervisors. In addition, we discuss the *Safe Pointer* type that we introduced to implicitly enforce bound checks against raw pointer usage to protect memory safety, complementing Rust's incapability in guaranteeing safety for raw pointers.



Figure 1: KrustVM Architecture.

4.1 KrustVM Overview

We leverage the hypervisor design introduced by our previous work, HypSec [36], to retrofit KVM into KrustVM. Figure 1 shows the architecture of KrustVM. Unlike KVM (shown on the left) that includes the host Linux kernel in its TCB, KrustVM relies on a Rust-based TCB, called Rcore to protect VM confidentiality and integrity against an untrusted KVM host that encompasses the host Linux kernel. We separate access control from resource allocation to reduce the TCB. Rcore focuses on protecting VM data in CPU and memory from the KVM host. KrustVM relies on the KVM host for resource allocation and scheduling. Rcore enforces access control to ensure resources assigned to the VM are inaccessible to the untrusted KVM host. Many applications are designed to use encrypted I/O channels. Like previous work [1, 26, 36–38], we assume VMs employ an end-to-end approach [56] to protect I/O data and avoids duplicating the protection efforts.

Given Arm's growing popularity in deployments [3, 51, 69], our current KrustVM implementation secures KVM for Arm. Section 6.1.1 details how we leverage Arm's VE features to retrofit KVM into KrustVM. Rcore protects VMs' CPUs by interposing VM exits. For events that require the host's functionality, Rcore saves the VM CPU registers from the hardware to its private memory, which the host cannot access, and then restores the host's CPU registers to the hardware. Rcore performs the same action in reverse before entering the VM.

To protect VM memory, Rcore uses Arm's S2PT to enforce memory access control. Rcore enables S2PTs when running the KVM host and VMs so that they do not have direct access to physical memory. Rcore manages and updates all S2PTs. Rcore allocates S2PTs for all entities from their respective page table pool to which the host and VMs have no access. Rcore employs an identity map in the KVM host's S2PT, translating each KVM host's IPA to an identical hPA. This allows KrustVM to reuse Linux's memory allocator to manage memory implicitly. Rcore unmaps VMs' private memory pages from the KVM host's or other VMs' S2PTs. Rcore also unmaps its memory pages from all S2PTs, making them inaccessible to VMs and the host. Unauthorized access to unmapped pages made by a compromised host results in an S2PT fault routed to Rcore, allowing it to reject such invalid host memory accesses.

A compromised KVM host can control devices to perform DMA to read or write VM memory. Rcore leverages Arm's SMMU to protect DMAs. Rcore allocates and manages SMMU page tables from its private memory for each DMAcapable device. It trap-and-emulates the host's access to the SMMU to prevent the host from controlling the SMMU.

4.2 KMutex

Locks are commonly used to synchronize concurrent shared memory accesses. However, incorrect usage of locks can compromise data integrity and service availability. To address these concerns, KrustVM leverages Rust's type system to guarantee freedom from data races and deadlocks at compile time. Our approach supports fine-grained locking and is designed for easy adoption, ensuring high performance and minimal development effort.

In addition to its promise of memory safety, Rust is known for its *Fearless Concurrency* [54]. Rust's ownership model carefully tracks the usage of every piece of data and automatically derives information on data shareability among threads. Consequently, Rust can preemptively detect and prevent data races at compile-time. Rust supports concurrent programming by exposing structures such as mpsc for message passing or Mutex for managing shared states.

However, Rust's Mutex has limitations that prevent direct use in building a secure multiprocessor hypervisor. First, the library only supports user space programs. For instance, on Linux, the Mutex in the Rust standard library is implemented with the pthreads library. This makes these data structures unsuitable for use in a hypervisor or other low-level systems. Second, Rust's synchronization primitives do not guarantee deadlock freedom, so their use might accidentally compromise a service's availability. We aim to develop a safer alternative that ensures deadlock freedom while preserving the ease of use.

4.2.1 KMutex Design. We developed a custom lock data type named KMutex, designed to safeguard the data within Rcore. KMutex is a generic data structure capable of holding arbitrary data alongside a lock. Every instance of KMutex will have its lock, so every KMutex can protect its inner data accordingly. Instead of exposing methods such as new to create KMutex instances, we require that all data and KMutex initialization occur during the boot time. This choice is deliberate, as it aligns with our objective of safeguarding static global metadata.

The sole exposed method of KMutex is lock. The program must call the lock method to gain access to the data encapsulated within KMutex. The lock method first acquires the lock and subsequently returns a *Resource Acquisition Is Initialization* (RAII) guard. This guard is an instance of a generic type. In Rust, generic type definitions can be used with various concrete data types, enabling code reuse. The guard contains a mutable reference to T, enabling us to access and manipulate the data encapsulated by T.

To release the lock, we have implemented the Drop trait for Guard<T>. This allows us to rely on the Rust compiler to track the use of the RAII guard and automatically insert the unlock call to release the lock. This removes the need to manually release the lock and eliminates concerns about releasing it at the wrong time. Consequently, we can access data protected by the lock with confidence, without worrying about the unlocking process.

We aim to reduce the code size of Rcore and avoid integrating a lock library with Rcore. Therefore, we implement both locking and unlocking mechanisms through Arm's exclusive access instructions [42]. We wrapped the instructions in inline assembly.

We design our lock API carefully to achieve deadlock freedom and eliminate misuse of KMutex that compromises the availability of KrustVM. The key idea of our approach is to leverage the ownership model of Rust to ensure that the lock method of KMutex cannot be called unless the existing RAII guard is dropped. The first three lines of Listing 2 show the function signature of the lock method from Rust's default Mutex. It only requires the caller to pass an immutable reference when calling the lock method. Since Rust permits immutable references to be created arbitrarily many times, a careless programmer may legally call lock when holding the respective Mutex, leading to a self-deadlock.

The last three lines of Listing 2 show the function signature of the lock method in KMutex. This looks similar to Mutex since we still want the user of this type to have a similar experience as using the one from the Rust standard library. The main difference of the lock method between KMutex and Mutex is the presence of the mut keyword (see line 5 of Listing 2). We embed the mutable reference mut into Guard, so the Rust ownership model will release the mut reference once the Guard gets released. Meanwhile, since the guard is holding the mut references of KMutex, a program cannot call lock to create another guard while holding the one created in a previous call to lock, thus preventing self-deadlocks.

```
1 impl<T: ?Sized> Mutex<T> {
2   pub fn lock(&self)->LockResult<MutexGuard<'_,T>>{...}
3 }
4 impl<T: ?Sized> KMutex<T> {
5   pub fn lock(&mut self)->Guard<'_,Self>{...}
6 }
```

Listing 2: Function signature of the lock method of KMutex and Mutex

4.2.2 Reference Getter Functions (RGFs). We assign a unique KMutex instance to each of Rcore's shared object types. Rcore must call the KMutex's lock method to acquire the respective inner shared objects. To manage access to these shared memory objects, Rcore uses a set of RGFs; each RGF is bound to a specific shared object type. Once the lock is acquired, the corresponding RGF returns a reference to that type's KMutex instance. Rcore dereferences the reference to KMutex instance that wraps the actual shared object to perform the intended access. Accesses to shared objects in Rcore were made through the respective RGF. This approach ensures that access to shared objects in Rcore can only occur when the respective KMutex is held, guaranteeing data race freedom. In the following subsection, we discuss how we restrict the invocation order of RGFs in Rcore to ensure deadlock freedom. RGFs follow Rust's interior unsafe [49] design pattern. RGFs expose a safe interface but encapsulate unsafe operations in its actual implementation. This eliminates significant use of unsafe code blocks in Rcore's implementation. We discuss a concrete RGF implementation in Section 5.2.

4.2.3 Enforcing Lock Order. We force the caller to pass a mutable reference to the lock method to rule out selfdeadlocking. However, deadlock-freedom cannot be ensured yet since deadlocks can occur not only when a single lock is acquired twice but also when multiple locks wait for each other. We define a partial order among the equivalence classes of KMutex for the shared data types in Rcore. For a given partial order, if $A \leq B$, we ensure that it is impossible to acquire A after acquiring B. Failing to follow the order can result in lock order inversions. We utilize Rust's lifetime system and traits to safeguard against such inversions at compile time. Figure 2 presents the lock acquisition order in the Rcore implementation. Note that this order is valid, i.e., containing no deadlocks, since the graph does not contain cycles.



Figure 2: Lock acquisition order within Rcore. Here, a block *A* points to *B* means that $A \le B$ is in the given partial order. Table 1 defines the respective data type for each block.

We impose restrictions on RGFs to enforce the intended partial order dependency when acquiring/releasing locks to avoid deadlocks when nested-locking multiple locks. An RGF that returns a mutable reference to KMutex<A> must be called with a mutable reference of T that implements the trait CanGetA, where A is the name of the type. For each shared type A, all types T where T has an edge that points to A in Figure 2 implements the trait CanGetA. Rust suggests marking traits as unsafe when improper implementation could result in a safety violation. For CanGet * traits, implementing any of them without following the desired partial order (Figure 2) can lead to a cycle in the acquisition order, which could cause a deadlock. Therefore, we mark all of the CanGet * traits as unsafe, so that we check the implementation follows the desired partial order and ensure safety.

For an RGF of A, we pass a &mut T, where T: Can-GetA to get &mut KMutex<A>. For instance, in line 13 of Listing 3, we get a &mut KMutex<A> (ref_a) by passing a mutable reference b into the RGF get_a (b is of type &mut B, and B implements CanGetA on line 3). When b is passed to the RGF, instead of moving b into the function, the Rust compiler actually *reborrows* (i.e. changing b to &mut *b, line 12), and creates an anonymous mutable reference to B, Yu-Hsun Chiang, Wei-Lin Chang, Shih-Wei Li, and Jan-Ting Tu

```
pub unsafe trait CanGetA {}
   // SAFETY: We've manually verified the order
  unsafe impl CanGetA for B {}
3
5
  pub fn get_a<T:CanGetA>(_:&mut T)->&mut KMutex<A>{...}
7
   fn foo(ref_b: &mut KMutex<B>)
    let mut b = ref_b.lock();
9
10
     /* b in the following line gets converted to
11
       "&mut *b" by the compiler */
     let ref_a = get_a(b);
12
13
14
     /* this does not compile
15
        because ref_a's lifetime is not over */
16
  let ref_c = get_c(b);
17
    let mut a = ref_a.lock();
18
19
    a.do_a_work();
20
21
     // we can use b after this
22
    b.do b work();
23 }
```

Listing 3: An example that violates the predefined lock order. (Assuming $B \rightarrow A$ is in the lock acquisition order graph)

then moves it into the RGF. The RGFs are implemented such that the required passed-in mutable reference (in this case, the anonymous reference) has the same lifetime as the returning mutable reference ref_a of type &mut KMutex<A>. The anonymous mutable reference must live as long as ref_a. In this case, from line 13, where the anonymous mutable reference is first created, to line 20, where ref_a is used for the last time. Between lines 13 to 20, which the anonymous mutable reference to B (b)'s lifetime spans, Rust does not allow any access to B other than the anonymous mutable reference, otherwise, two mutable reference to the same object will have their lifetimes overlap. And, since there is no way to use the anonymous mutable reference because it is moved into the RGF, any access to B can only be made via a different reference to B. Therefore, the Rust compiler forbids any access to B before ref a gets dropped because the anonymous reference has the same lifetime as ref_a's.

4.3 Safe Pointer

When implementing low-level systems, like hypervisors, raw pointer access is inevitable. However, incorrect raw pointer accesses can easily violate Rust's ownership model. Rust allows parts of a program to be marked as *unsafe*, disabling compiler checks to support operations such as raw pointer accesses. Many software bugs stem from discrepancies between the address a pointer references and the intended address it should point to. For example, the S2PT walking code must calculate the addresses of each level's page table entry. If the calculation is incorrect, a raw pointer access against the resulting addresses may inadvertently access or modify an unrelated memory region. Such unintended behavior could

Table 1: Rcore Metadata

Name	Description of Data
VM info	The per-VM execution state metadata.
S2PT info	The S2PT pool allocation status.
PMEM info	The memory ownership and sharing status.
SMMU info	The SMMU management metadata.
SMMUPT info	The SMMUPT pool allocation status.

compromise VM security, potentially leading to writes to guest memory or other hypervisor metadata. To address this problem, we introduced *Safe Pointer* types to hold raw pointers. We bound-check the addresses that the pointers point to, ensuring that each designated type can exclusively store pointers within a designated memory region.

Raw pointers in Rust can be unaligned or null, but they must be non-null and aligned when dereferenced. Furthermore, references in Rust must be non-null, aligned, and pointing to memory containing a valid value. We extend these semantics and create a set of Safe Pointer types. Each Safe Pointer type is associated with a specific memory region. We require Safe Pointers to be non-null, aligned, and pointing to a memory inside the corresponding memory region. Instead of validating the address every time we access it, we validate a given address inside the constructor of a Safe Pointer type. If the given address does not fall within the desired area, we reject the construction and return None to the caller. Rust provides a more rigorous type safety guarantee than C. It forbids arbitrary type casts and ensures data are sanctioned by its type. Since a Safe Pointer type can only be constructed through its constructor, we can ensure each of its instance points to a desired memory region.

Safe Pointer types simplify programmers' efforts to reason about the validity of a pointer. Based on the type semantics and Safe Pointer types, programmers can dereference safe pointers and update data inside them without worrying about corrupting an unexpected memory region. This costlessly improves the security of systems that require many direct memory accesses.

5 SECURING KRUSTVM WITH RUST

5.1 Rcore Metadata

The resulting Rcore implementation supports multiprocessor VMs running on multiprocessor hardware. Rcore concurrently runs on different processor cores and may access shared memory. We build on Rust's safety checks while leveraging KMutex's lock-safe API and Safe Pointer types, achieving strong memory safety guarantees. To achieve the best performance possible, Rcore uses fine-grained locking to protect concurrent memory accesses. Rcore concurrently accesses Rcore Metadata and the Page Table Pool. As its name suggests, the Rcore's Page Table Pool keeps private pools of physical pages for S2PTs and SMMU page tables. Table 1 shows Rcore's Metadata. The metadata is used for tracking page table allocation status (S2PT info and SMMUPT info), physical memory page ownership (PMEM info) and VM states management (VM info), SMMU page table metadata (SMMU info), etc. We constructed custom types to store these Rcore Metadata.

5.2 Modularizing Rcore

In our implementation, we modularized Rcore into two layers: Safety and Func layers. The Safety layer includes Rcore's functionality that requires unsafe Rust. By encapsulating unsafe Rust, we could implement the complex features for VM protection in the Func layer in safe Rust, leveraging Rust's compile-time check to enforce safety guarantees.

Safety Layer. The Safety layer follows Rust practices and exposes a safe API to the Func layer that wraps the unsafe usage. This includes RGFs and Rcore's custom Safe Pointer types. Section 4.2 discusses the approaches we employed to secure data race and deadlock freedom for Rcore memory accesses to shared data within the Rcore Area, including the Rcore Metadata and page tables (see Figure 3). Section 4.3 discusses the Safe Pointer types we created to ensure spatial memory safety by imposing bound checks.



Figure 3: KrustVM Memory Regions.

Func Layer. Rcore categorizes metadata and memory objects into different Rust types. The Func layer implements the methods for these types. For instance, Rcore implements the methods for the type that corresponds to PMEM info (see Table 1) to track memory ownership for each memory page. Each type stores a group of related data, and each method implements a function that acts on the instance's fields. Types serve as a valuable tool for constraining the operations performed on data based on their concrete semantics. This makes

it easier for programmers to understand operations they can perform on the data and avoid misuse.

The Func layer uses the API exposed by the Safety layer to build complex hypervisor functionality. For example, it invokes the safe RGF (see Listing 4) to obtain a mutable reference to a given Rcore object, such as the PMEM info metadata, then invokes its methods to fulfill virtualization tasks, such as assigning page owner to a VM in page fault handling. The Func layer builds on the safety guarantees enforced by the Safety layer.

Adopting KMutex and Safe Pointers 5.3

5.3.1 KMutex and RGFs. The enforced lock acquisition order within Rcore is shown in Figure 2. For functions that take a lock without already holding a lock (the entry node), a zero-sized type LEntry which implements every CanGet * traits defined in Rcore.

We associate an instance of KMutex for each data type in Table 1. We create an instance of KMutex for VM info and S2PT info for each VM. For each of the other data types, a single instance of KMutex is used to protect concurrent memory access. We allocate all KMutex instances during the boot time of the host machine. As discussed in Section 4.2.1, this prevents attackers from maliciously creating new KMutex instances that override existing ones to compromise lock safety.

We aggregate Rcore metadata structures into a single big structure RcoreMetadata (line 1 in Listing 4) to simplify the memory region used by these metadata. All CPU cores share metadata in Rcore; some are per CPU. Data shared by all CPU cores should be protected by KMutex. Hence, all of them are of type KMutex<T> (an example is line 3 in Listing 4), where T is the type that actually stores Rcore metadata. Since there is no existing memory allocator in a hypervisor environment, we directly inform where in the address space Rcore should use. Specifically, we predefined the address to the instance of RcoreMetadata and manually initialize this memory region at boot time so it can be used safely (line 7).

The Func layer can use RGFs to access metadata with safe Rust, allowing the Func layer to implement all the functionality without using unsafe Rust. Each RGF returns a mutable reference to one of the fields in RcoreMetadata. Line 10 of Listing 4 shows an example. It returns the mutable reference of the type KMutex<PMemInfo>. The RGF is implemented by:

- (1) dereference the raw pointer using the \star operator
- (2) pick the pmem_info field of RcoreMetadata
- (3) prepend & mut to take the mutable reference of the field and return the mutable reference; once acquiring the

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```
struct RcoreMetadata {
2
    [...] // other fields omitted
    pub pmem_info: KMutex<PMemInfo>,
3
4
    [...] // other fields omitted
5
  }
  const RCORE_METADATA_PTR: *mut RcoreMetadata
        = /* Rcore's memory address */;
10
  // the RGF of pmem_info
11 pub fn get_pmem_info<T: CanGetPMemInfo>(_: &mut T)
12
          -> &mut KMutex<PMemInfo> {
13
    // SAFETY: The memory pointed has been initialized.
    // Also, the data is properly wrapped in a KMutex
14
15
     // and the caller have the permission to get PMemInfo
16
    unsafe {
17
      &mut (*RCORE_METADATA_PTR).pmem_info
18
    }
19 }
```

Listing 4: Rcore Metadata and Reference Getter Function

reference, Rcore can access the wrapped data within pmem_info

Listing 5 shows a function in the Func layer that clears a page's mapping in the host's S2PT. At line 2, the RGF get_s2pt_info is called and it returns a reference of KMutex-<S2PTInfo>, which stores the S2PT information of the host. After obtaining the reference to KMutex<S2PTInfo>, the lock method is called to lock the structure. At line 5, the method walk s2pt is called to walk the S2PT to check if the leaf entry is zero, and set_s2pt is called on line 6 to clear the S2PT entry if not. This demonstrates how the Func layer utilizes the Safety layer.

```
1 fn clear_pfn_host<T: CanGetS2PTInfo>
     (caller: &mut T, pfn: usize) {
    let s2pt_info = get_s2pt_info(caller, HOST);
    let mut guard = s2pt_info.lock();
    if guard.walk_s2pt(pfn << PAGE_SHIFT) != 0 {</pre>
      guard.set_s2pt(pfn << PAGE_SHIFT, 0);</pre>
       [...] // details omitted
10
    }
11 }
```

2

3 4

5

6

7

8

9

Listing 5: An example function in the Func layer

5.3.2 Safe Pointers. We encapsulated unsafe raw pointer usages in Rcore with Safe Pointer types. As shown in Figure 3, Rcore accesses four disjoint memory regions in the physical address space: Rcore Metadata, Page Table Pool, SMMU Area, and Generic Area. The Rcore Area includes the Rcore Metadata and Page Table Pool. The SMMU Area corresponds to the SMMU hardware. The Generic Area refers to the memory region in the physical address space other than the Rcore and SMMU areas. KrustVM relies on the KVM host to allocate memory to VMs from the Generic Area. Rcore reads from or writes to memory from this region to perform several tasks, including authenticating VM boot images and

scrubbing memory pages of a terminated VM before returning the pages to the KVM host. We created a set of *Safe Pointer* types to hold addresses respective to the four memory regions in Figure 3 to secure Rcore memory accesses. We discuss how we protect Rcore's raw pointer accesses to the generic area as the case study.

```
1 impl GenericPhysRegion {
    pub fn new(start_addr: usize, size: usize) ->
2
     ↔ Option<Self> {
      let end = start_addr + size;
3
4
        / overlap check
      if (end > RCORE AREA START &&
5
           RCORE AREA END > start addr) ||
6
          (end > SMMU AREA START &&
7
          SMMU AREA END > start addr) {
8
        None
9
10
      } else {
         Some(Self { start_addr, size })
11
12
      }
13
    }
14
    // returns a mutable `u8` slice for the caller
15
16
     // to access generic area memory
    pub fn as slice(&self) -> &'static mut [u8] {
17
18
       // convert the physical address to the virtual
      ↔ address
19
      let va = pa_to_va(self.start_addr);
20
      unsafe {
21
        core::slice::from_raw_parts_mut(
22
          va as *mut u8, self.size,
23
        )
24
      }
25
    }
26 }
```

Listing 6: GenericPhysRegion guarantees that every instance points to a valid generic area range

Raw Pointer Access: Generic Area. Generic area accesses are done by calculating raw addresses and writing to them via raw pointers. Raw pointers are necessary here because system RAM is just a range of flat address space to Rcore. We created a Safe Pointer type called GenericPhysRegion (as shown in Listing 6) to secure Rcore's access to memory in the generic area. GenericPhysRegion can only point to a memory range in the generic area. We carefully check the address provided during construction and reject any invalid address to ensure that every instance of GenericPhysRegion points to a valid memory region. This eliminates out-of-bound memory accesses to other areas.

The constructor of GenericPhysRegion, namely the new method at line 2 in Listing 6, verifies whether the memory range specified by the arguments (start address start_addr and access size size) is contained within the bounds of the generic area. If the specified range overlaps with the Rcore Area or the SMMU area, the constructor returns a None variant, indicating that the construction has failed. Listing 7 shows an example usage of GenericPhysRegion. The function clear_page from the Func layer takes a physical frame number (pfn) and scrubs the page. Generic-PhysRegion::new() at line 2 takes the physical address of the page (pfn « PAGE_SHIFT) and its size (PAGE_-SIZE) as arguments to perform the bound check at the constructor. The caller of GenericPhysRegion::new() gets a GenericPhysRegion if the check passes; otherwise, clear_page returns an Error type. In the former case, clear_page returns an error at line 4. Otherwise, clear_page returns an error at line 2, effectively preventing the unbounded memory access and propagating the absence of a value up the call stack.

Listing 7: Example usage of GenericPhysRegion

6 IMPLEMENTATION

Our KrustVM implementation supports KVM in mainline Linux 5.15. The resulting Rcore consists of 3.8K LOC of safe Rust, 0.2K LOC of unsafe Rust, 0.4K LOC of ARM assembly, and 10K LOC of C. The ARM assembly code is sourced from the mainline KVM for world switch and exception vectors. The 10K LOC of C is attributed to the verified HACL* [75] crypto library for Ed25519 and AES implementation linked with Rcore. We added and modified roughly 1K LOC to Linux to install Rcore. Since our codebase is based on Linux 5.15, we leverage the LTO support from LLVM [40] to optimize the Rust code.

The unsafe Rust code in Rcore consists of the following: (1) unsafe assembly routines to acquire and release locks, (2) unsafe trait implementations for Rcore metadata types to ensure lock order (Section 4.2.2), (3) unsafe LEntry usages (Section 4.2.2), (4) unsafe raw pointers for accessing the isolated memory regions (Section 4.3), (5) unsafe C function calls to the HACL* library, (6) unsafe assembly routines for world switch and cache/TLB maintenance (Section 6.1.3), and (7) unsafe raw pointers for accessing Per-CPU variables (Section 6.2).

We also implemented HypSec [36] in C based on Linux kernel 5.15 (denoted as HypSec-5.15). HypSec-5.15's TCB consists of 3.4K LOC of C and 400 LOC in ARM assembly, linked with the formally verified HACL* [75] library. Rcore is slightly larger than HypSec-5.15's TCB due to the language features that Rcore leverages, such as the traits we defined and implemented to protect memory accesses. We extended

QEMU v4.0.0 based on the open source artifact [16] released by previous work [37] that also follows Hypsec's design to support KrustVM and HypSec-5.15.

6.1 Adapting KVM to KrustVM

6.1.1 Retrofit KVM/Arm for VM Protection. As discussed earlier, KrustVM supports Arm-based hardware with VE support. As shown in Figure 1, Rcore runs in EL2 to isolate itself from the KVM host, which the host Linux kernel and VMM run in less privileged EL1 and EL0. In KVM [18], the host has full access to the memory used by the lowvisor in EL2. In KrustVM, the host is isolated from Rcore that runs in EL2. Rcore sets up Arm's HCR_EL2 register to enable S2PT when running the host and trap VM exits and interrupt to EL2. The untrusted host cannot access EL2 registers to disable or control privileged hardware features. Rcore follows HypSec's design and exposes a set of hypercalls for the host to request services that require EL2 privileges. For example, once the host schedules a virtual CPU, it makes a hypercall to Rcore to execute the VM.

KrustVM reuses the device drivers from the KVM host to manage I/O devices and provide I/O virtualization. KrustVM trap-and-emulates VMs' MMIO accesses in the host. VMs perform MMIOs to configure and manage virtual hardware and they involve no sensitive information. Paravirtual I/O frameworks such as virtio [53] require the hypervisor to access VM memory. Virtio devices from the hypervisor communicate with the VM via shared ring buffers for sending and receiving encrypted data stored in I/O buffers in VM memory. Based on HypSec's design, Rcore exposes the grant and revoke hypercalls for VMs to share and unshare their memory with the KVM host. This is crucial since the host, which provides virtio devices, cannot access VM memory by default. KrustVM follows HypSec's design and relies on the KVM host to provide interrupt virtualization.

KrustVM builds on the VM secure boot mechanism proposed by HypSec that leverages public key cryptography to verify the signature of the signed VM images. KrustVM ensures that only trusted VM boot images can run in the VM. Reore allows the host Linux to make the VM CREATE and VM BOOT hypercalls to create a new VM and load boot images, such as the kernel binary. Reore uses Ed25519 from the integrated HACL* library to authenticate the integrity of the boot images. The VM's public key is securely loaded into Reore's private memory before VM boot, so Reore can use the key to verify against the respective VM image signature. Reore stops VM boot if the verification fails. We enlightened QEMU to use KrustVM's secure VM boot API.

Rcore exposes hypercalls to KVM host for exporting encrypted VM data. The KVM host utilizes these hypercalls to implement features such as swapping VM memory to disk or VM management functions, including VM snapshots and migration.

6.1.2 Recore Area Protection. We modified KVM to allocate a physically contiguous memory region as the Recore Area. We allocated a reserved memblock [30] during boot time to correspond to the Recore Area. This prevents the host Linux kernel from allocating free pages from this area. We followed HypSec's design and rely on the host Linux to install Recore to EL2 at boot time. After Recore is installed, it subsequently deprivileges the host Linux kernel and activates stage 2 page tables to restrict the host's memory access. It ensures the Recore Area is unmapped from the host's stage 2 page table.

6.1.3 Hardware Accesses. Rcore encapsulates the functionality of KVM's lowvisor to world switch CPU states. As mentioned in Section 4, Rcore multiplexes the execution context of the host kernel and guest on the Arm hardware. The context comprises CPU states, including the general purpose and Arm's EL1 system registers. Rcore employs Rust's syntax to wrap the inline assembly code used for world switching the EL1 system registers. Rcore's other functionality that requires inline assembly, including the primitives enforcing mutual exclusion for KMutex and TLB/cache invalidation, were also wrapped in Rust's inline assembly syntax.

6.2 Integrating Rcore with KVM

Efforts were made to fulfill the goal of running our custom Rust code in EL2. Firstly, LLVM requires basic functions, such as memopy and memset even in a freestanding environment [62]. Since Rcore minimized codebase does not contain Linux's implementations of these functions, we manually implemented these functions and redirected ELF symbols to our implementations. Furthermore, like KVM, we link code to be executed in EL2 in a separate ELF section. This was done by annotating functions through Rust's attribute link_section to locate them in the right section.

Finally, Rcore builds on top of KVM's existing support to provide access to Per-CPU variables to software running in EL2. Rcore creates the metadata called vCPU context for every VCPU of a given VM. Rcore uses a Per-CPU variable to track the scheduling status to ensure the same VCPU cannot simultaneously run on two different CPU cores. KVM allocates a memory buffer to store all per-CPU variables. Similarly, Rcore stores a unique offset to each core's TPIDR_-EL2 register. We create a helper class to assist Rcore to access them correctly. We successfully encapsulated three unsafe statements into a higher-level primitive. This includes reading the address of the extern static variable first, then reading TPIDR_EL2 via inline assembly, and lastly, dereferencing

the calculated address. Regarding memory safety, TPIDR_-EL2's value for each CPU is not modified after boot time initialization. Hence, no out-of-bound accesses can happen because the address for each Per-CPU variable remains static.

6.3 Compiling KrustVM

As Linux 5.15 does not have built-in Rust support, we implemented Rcore in a single crate on the no_std environment and compiled it into a single static library. Our implementation is compatible with the Linux kernel codebase. For example, the page size definition is identical in Rcore and KVM. Also, types like kvm_vcpu are shared between Linux and Rcore. These type definitions were generated automatically with the tool bindgen [11]. For constants that are used by both Linux and Rcore, we copied them from C to Rust manually. Due to the limited support of macros in bindgen and the heavy usage in Linux, we did not use it to generate constants. Regarding alignment, field layout order, and padding of custom types, Rust provides an attribute #[repr(C)] that ensures the data layout of the marked type has the same layout as in C.

6.4 Contributing the Community

During our implementation, we found that Rust and LLVM lack some ARMv8-specific features. Specifically, we encountered compilation errors when attempting to access specific system registers in the default Rust configuration. However, these features are crucial for a hypervisor like KrustVM that programs low-level hardware states. To mitigate this issue, we took the initiative to contribute patches [71, 72] to both LLVM and Rust rather than relying on workarounds. We believe the contribution benefits the community, facilitating Rust's adoption in Arm-based systems.

7 EVALUATION

We evaluated the performance of KrustVM, HypSec-5.15, and mainline KVM. The bare metal environment is also tested using the same benchmarks to establish a baseline reference. All workloads were run on the Raspberry Pi 4 Model B development board, with a Broadcom BCM2711 quad-core Cortex-A72 (ARM v8) 64-bit SoC at 1.5GHz, 4GB of RAM, and a 1 GbE NIC device.

KrustVM, HypSec-5.15, and the mainline KVM are based on Linux 5.15. QEMU v4.0.0 was used to start the virtual machines on Ubuntu 20.04. The guest kernels also used Linux 5.15, and all kernels tested employed the same configuration. We used the enlightened QEMU (see Section 6) to run VMs on KrustVM and HypSec-5.15. For KVM, we used the mainline QEMU 4.0.0. We applied the same patch from HypSec to the guest Linux kernel to enable virtio. rustc version 1.68.0-nightly was used to compile Rcore, while clang 15.0.0

Table 2: Application Benchmar	'ks
-------------------------------	-----

Name	Description
Kernbench	Compilation of the Linux 6.0 kernel using
	tinyconfig for Arm with GCC 9.4.0.
Hackbench	hackbench [52] using Unix domain sockets
	and 50 process groups running in 50 loops.
Netperf	netperf [29] v2.6.0 running the netserver
	on the server and the client with its default
	parameters in three modes: TCP_STREAM
	(throughput), TCP_MAERTS (throughput),
	and TCP_RR (transmission rate).
Apache	Apache v2.4.41 Web server running
	ApacheBench [64] v2.3 on the remote
	client, which measures the number of handled
	requests per second (throughput) when serving
	the 41 KB index.html file of the GCC 4.4
	manual using 100 concurrent requests.
Memcached	memcached v1.5.22 using the
	memtier [50] benchmark v1.2.3 with
	its default parameters. Measures operations
	per second (throughput).
YCSB-Redis	redis v7.0.11 using the YCSB [13] bench-
	mark v0.17.0 with its default parameters. Mea-
	sures operations per second (throughput).

was used to compile the remaining components of KrustVM, the mainline KVM, and the guest kernels.

7.1 Performance Evaluation

Application Performance. We ran the benchmarks listed in Table 2 in a single VM running on KrustVM, HypSec-5.15 and the mainline KVM, and bare-metal. We configured the hardware with two physical CPUs and 1 GB of RAM for the bare metal setup, for testing KrustVM, HypSec-5.15 and mainline KVM, each VM is equipped with two virtual CPUs and 1 GB RAM, and the hypervisor runs on the full hardware available. For client-server experiments, servers were run on the Raspberry Pi, while the clients were run on a separate x86 Linux machine with 8 CPU cores and 16 GB RAM, with a 1 GbE cable connecting the two. Vhost-net is enabled for all VMs. Figure 4 shows the results of VM performance normalized against bare-metal performance. 1.00 refers to no virtualization overhead (i.e., the same as bare-metal). A higher value means higher overhead. The performance of these real application workloads show modest overhead overall for KrustVM compared to mainline KVM and HypSec-5.15.

In the TCP_MAERTS benchmark, it can be observed that mainline KVM, HypSec-5.15 and KrustVM all outperformed the bare-metal setup. The benchmark evaluates the TCP send

throughput on the server. We suspect this is because the virtio driver in the guest kernel batches multiple packet sends before submitting the data to the backend virtio driver in the hypervisor host, which transfers the batched data to the NIC. In contrast, the bare-metal driver transmits packet data to the NIC for each transmission, leading to a higher overhead.

KrustVM showed modest overhead compared to KVM. In most cases, the overhead is within 10%. KrustVM suffered higher overhead in networking benchmarks (i.e., Netperf, Apache, Memchached, YCSB-Redis) that are more complex. KrustVM does not allow the host kernel to access the I/O data stored in VM memory by default. Therefore, to enable virtio, we follow HypSec [36]'s approach and modified the guest kernel to make the grant and revoke hypercalls to Rcore to share/unshare memory with the host explicitly for each I/O transaction. VMs on KrustVM and HypSec-5.15 suffered from additional hypercall overhead while running network workloads. This effect can be observed to be larger for TCP -RR and Memcached since these two benchmarks are more I/O intensive compared to other networking benchmarks, making the latency caused by grant and revoke hypercalls more noticeable. Future optimizations, such as batching grant and revoke hypervisor during I/O transactions, could be incorporated to enhance performance.



(results are normalized to bare-metal performance)

Figure 4: Application Benchmark Performance.

Multi-VM Performance. We evaluated the performance of workloads running in multiple concurrent VMs. We used three configurations for each hypervisor setting. We ran Hackbench in 1 VM and concurrently in 2 and 4 VMs. In the multi-VM setups, we started Hackbench in all VMs simultaneously. Because the Raspberry Pi 4 model B hardware has only 4 GB of RAM to spare, we allocated 512 MB of RAM to each VM. Each VM is configured with 1 VCPU. The number of process groups started by Hackbench is also lowered from 50 to 20 in response to the more resource-constrained VM setups. The results are shown in Figure 5. Similarly, we normalized the results to bare-metal performance using the same number of CPUs and RAM. 1.00 refers to no virtualization overhead. As expected, overhead gradually increased for all hypervisors as

the number of VMs running in parallel increased. Hackbench causes memory pressure. It forks multiple processes to perform IPCs. Concurrent VMs running Hackbench enlarge the memory footprint, resulting in stage 2 page faults due to more VM access to unmapped gPAs, thus suffering from higher page fault handling overhead.

In our evaluation, Hackbench ran slower on KVM compared to HypSec and KrustVM. We suspect this is because HypSec and KrustVM employ a more simplistic counterbased algorithm than KVM to track page table allocation status, thus causing less performance overhead compared to KVM, which relies on Linux's memory allocators to allocate page tables.



Figure 5: Multi-VM Hackbench Performance.

Scaling VCPUs. We tested Hackbench on VMs with a higher number of VCPUs: 2 and 4 VCPUs, on each hypervisor configuration. Each VM uses 1 GB of RAM. The results are shown in Figure 6. The results are normalized to bare-metal performance using 4 physical CPUs and 1 GB of RAM. The setups with 2 VCPUs exhibit virtualization overhead close to 100% because they only had half the number of CPUs to run the benchmark. All three hypervisors demonstrated their ability to scale from 2 to 4 VCPUs, each running around twice as fast when assigned with 4 VCPUs.



Figure 6: Multi-VCPU Hackbench Performance.

7.2 Safety Analysis

Our goal is to ensure the Rcore implementation is data racefree and deadlock-free. We elucidate below how the synergistic utilization of KMutex and RGFs help to achieve these two properties.

Data race freedom. We ensure that every access or mutation to shared metadata in Rcore is guarded by KMutex to eliminate data races. Rust permits unsafe reads or writes to shared global data. We ensure unsafe shared data accesses do not exist in Rcore. All shared data reads or writes must be conducted via KMutex. Rcore is required to use the lock method to access the wrapped shared data protected by KMutex. Our implementation provides no safe Rust alternatives for accessing shared data to Rcore. As mentioned earlier, lock invokes the Arm assembly to impose mutual exclusion. Data race freedom of stage 2 page table accesses is guaranteed by making sure that Rcore only accesses stage 2 page tables within S2PTInfo's methods, thereby protecting the stage 2 page table metadata and the page tables themselves with the same lock. Additionally, Rcore does not provide any way for VMs to share stage 2 page tables, therefore chances for inter-VM stage 2 page table data races are also eliminated.

Deadlock freedom. We identify two causes that could result in a deadlock scenario: *multiple same lock acquisition* and *incorrect lock acquire & release ordering*. We discuss how Rcore prevents the scenarios to deliver deadlock freedom.

Multiple same lock acquisition: KMutex's lock method requires the caller to pass a mutable reference. Rust prohibits the creation of multiple mutable references from the same object while any of them is still in use. Consequently, this design empowers the Rust compiler to detect and reject selfdeadlocking scenarios when utilizing KMutex.

Incorrect lock acquire & release ordering: We ensure that Rcore always follows the pre-defined partial order to acquire and release KMutexes. We ensure the acquisition order of KMutexes always follows the desired partial order. The acquired KMutexes are automatically released by the corresponding Drop trait in the reverse of the acquisition order, thus ensuring KMutexes are released accordingly to the desired order.

A lock order inversion is impossible due to two reasons. First, we implemented every CanGet * trait based on the partial order between locks. If a given order indicates that Rcore should not acquire KMutex after acquiring KMutex<A>, the type A must not implement the CanGetB trait. Assume Rcore acquires KMutex<A> and gets a mutable reference to type A, &mut A. Since the partial order is strictly followed, type A does not implement CanGetB. Therefore, Rust forbids passing &mut A to B's RGF, preventing such lock inversion.

Second, we ensure that, for a single CPU, at most, one KMutex can be active at any time. Two factors (F1 and F2) uphold this guarantee. F1: KMutex does not have a constructor, and neither do any types that implement any of the CanGet \star traits. F2: as shown in Listing 3, the design of any RGFs for T ensures that a passed-in mutable reference to an object that implements a CanGet \star cannot be used until the returned &mut KMutex<T> gets dropped. The only way to construct a type with any of the CanGet \star traits implemented is via the unsafe constructor of LEntry, which have manually ensured is called only once at the entry point of Rcore.

A given type may implement multiple different CanGet* traits. For instance, a type B may implement CanGetA and CanGetC traits, where A is different from C. Assume a mutable reference to B (b) is passed to the RGF of type A to get a &mut KMutex<A> (ref_a). F2 ensures that b cannot be active before ref_a's lifetime ends. The example shown in line 19 of Listing 3 passes b to type C's RGF. Rust prohibits the usage since it requires b to be active before the passing. Preserving Rust's Safety Requirements To prevent data races, Rust's ownership model forbids multiple mutable references to the same object to coexist simultaneously if no mechanism is used to synchronize these reads and writes to the object. We explain why the design of KMutex and RGF preserves Rust's safety requirements.

We consider two aspects: the data protected by KMutex and mutable references to KMutex (&mut KMutex). For the former, KMutex's lock method enforces mutual exclusion to synchronize and protect concurrent data accesses. For the latter, we show that no modification can be made to KMutex itself. We next explain why such a condition is adequate through the Tree Borrows (TB) [66] aliasing model. First, KMutex exposes no methods that can mutate itself. Second, we cannot replace an existing KMutex with a newly created one since KMutex does not provide a constructor; this prevents a locked object from being replaced. Finally, only one KMutex object can be active for a single CPU, so we cannot swap two existing KMutexs as swapping requires both mutable references to be active.

Based on the TB model, the creation of mutable references across different CPUs is treated as foreign read accesses in our case. Upon creation, mutable references initially exist in the Reserved state, allowing both foreign and child reads without restriction. Even if a reference transits to the Frozen state due to a protector, these reads remain permissible at any time. Consequently, the creation and reading of multiple mutable references, without modification, are consistently valid operations.

We employed the TB version Miri [39] to analyze our code and validate our approach. Given Miri's limitation in

directly verifying multi-CPU programs, we simulated a multi-CPU environment by spawning two threads. This simulation enabled us to evaluate the robustness of our approach in a concurrent execution context. Miri revealed no UB.

Additionally, compiler optimizations would not break any of our security foundations. First, the borrow checker executes before the optimizer so that optimizations won't affect the enforced lock orders. Second, the TB model stipulates that compilers cannot arbitrarily introduce write operations where no explicit writes to a given mutable reference exist in the original code. Consequently, we can guarantee that operations on KMutex mutable references will be limited to read access.

8 DISCUSSION

8.1 Security

KrustVM assume the same threat model as previous work [36]. Thus, KrustVM protects VMs against the CVEs addressed in that work [36]. The Rust compiler cannot check for logical errors, such as those resulting from hardware misconfiguration. On top of Rust's existing security guarantees, such as automatic bound checks for array types, we extended its capability to eliminate data races and deadlocking and isolate memory regions.

The unsafe Rust usage in Rcore, as shown in Section 6, encompasses raw pointer usages safeguarded by Safe Pointers and those for accessing predefined addresses. For the latter, we manually validate the source code to eliminate unintended memory accesses. The unsafe usages also include machine state operations (e.g., TLB flushes and world switches) that require inline assembly, which is orthogonal to memory safety and thus beyond Rust's safety scope. Finally, for unsafe traits and object creations, as shown in Section 4.2.2, we have carefully examined their usages to ensure they are safe.

8.2 Scalability

In our current implementation, it requires manual effort to implement traits carefully to ensure the validity of the partial order. With more locks involved, the effort may grow significantly. However, it is possible to automate this process with Rust's macro system, as we have clear naming rules for helper traits. For example, we can convert the partial order to a total order and implement helper traits between any two entities accordingly. Since all the acquisition edges are generated automatically, if we correctly implement the macro rule, we can safely assert the order contains no cycle so no deadlock can happen.

Further, though KrustVM currently allocates KMutex instances statically, incorporating dynamic allocation is possible. We could extend RGFs to support dynamic allocation, reuse its interface, and avoid high complexity. Specifically, we can adapt RGFs to first check the existence of the required object and allocate it if it is not present; otherwise, return the object directly. This would not break KMutex's soundness. Since the allocation happened in RGFs, we must provide CanGet * to the RGF to get the newly allocated objects. This means that at any time, we can only hold at most one mutable reference to a KMutex in a single CPU. Hence, even if the object is dynamically allocated, we still do not have any means to mutate it or use it to mutate other objects (e.g., swap to KMutex).

KrustVM's current implementation partitions the address space into distinct regions. We employ Safe Pointer to constrain memory access to these regions. The bound checks enforced when constructing a Safe Pointer can be extended to support finer granularity. For instance, we could further split the Page Table Pool into multiple regions, each serving as a pool for a single page table level (e.g., page directory, page middle directory, etc.), then represent each region with a Safe Pointer type. Safe Pointer can be generalized to secure raw pointer accesses in Rust to ensure these accesses are confined within pre-defined bounded memory regions. Although raw pointer accesses in Rust can significantly impact memory safety, they are rarely used [8]. The cost of Safe Pointer exists only during construction but not on later memory accesses. Therefore, utilizing Safe Pointer incurs minimal overhead while enhancing security.

8.3 Portability

KMutex and safe pointer types are generalizable to other low-level systems, including Linux components. KMutex provides a reusable, architecture-agnostic interface for wrapping shared objects. The main challenge lies in encoding lock order into Rust's type system, which requires careful analysis of lock relationships. This involves constructing a call graph of lock usage sites, which can be automatically translated into Rust traits and implementations to facilitate implementing RGFs. For safe pointers, raw pointer accesses are typically region-confined (e.g., Linux's slabs [44]) in low-level systems. Safe pointers offer a reusable interface that simplifies pointer integrity validation compared to direct address handling or reference conversion. Developers can adjust bounds checks based on system-specific requirements, enhancing memory safety for low-level systems dealing with raw pointers.

Porting KrustVM to an x86-64-based platform is also possible. Overall, our extensions to Rust are architecturalindependent. We believe that the extensions can be ported to x86-based with modest efforts. Developers only need to replace the Arm-based synchronization primitive used by the lock method for KMutex with an x86-64-based implementation, such as an x86-based spinlock. Furthermore, the hypervisor design [36] that we adopted in this work is compatible with an x86-64 environment. Like Armv8, x86-64 provides similar virtualization features, including nested paging (e.g., NPT/EPTs) and hardware-based control structures (e.g., VMCS) to deprivilege the host Linux and interpose VM exits. We expect an x86-64-based implementation of KrustVM to leverage these features.

8.4 Verification

The Kani Rust Model Checker [65] is an open-source tool to verify the Rust code. Kani operates as a Rust compiler backend, exhibiting decent execution performances compared to other Rust-based model-checking tools. Kani's efficiency stems from the fact that it utilizes Rust code's type information within Mid-level Intermediate Representation (MIR), a radically simplified form of Rust that is used widely inside the Rust compiler, to conduct bit-precise model checking, enabling itself to prune invalid branches but maintain the correctness of the verification by examining all possible values.

In this work, we have explored the integration of Kani into our workflow. We have formally verified the correctness of the bound condition embedded in custom Safe Pointer types in KrustVM. In other words, we have verified that the implementation delivers the intended behavior to enforce bound checks for three custom types, GenericPhysRegion, PTEAddr, and SMMURegion In future work, we intend to explore Kani's full capability in verifying KrustVM's unsafe Rust code blocks.

9 RELATED WORK

VM Protection. Various previous work [26, 35, 37, 45, 70, 74] redesigned the hypervisor to protect VMs. Unlike our work, none of them used Rust to secure their hypervisor implementation. KrustVM and SeKVM [37] both leveraged an earlier design [36] to retrofit and secure KVM, providing the same level of VM protection. SeKVM included a formally verified core to protect VMs against an untrusted host Linux kernel, while KrustVM relies on a Rust-based Rcore to protect VMs. Formal verification of the concurrent C-based SeKVM core requires significant effort. The authors took two person-years to complete the correctness and security proofs. In contrast, our Rust-based implementation took less than one person-year while ensuring properties verified systems provide, including memory safety, data race, and deadlock freedom. Arm's Confidential Compute Architecture (CCA) [7] provides similar VM protection guarantees to KrustVM. To support CCA, Arm introduced a new Realm world to run protected VMs, and secure firmware called the Realm Management Monitor (RMM); the latter controls the CCA hardware to prevent a compromised hypervisor from tampering with VM safety. To our knowledge, no Arm hardware has been implemented in CCA. KrustVM utilizes Arm's VE features available on the current Arm hardware without CCA to host protected VMs.

KrustVM is compatible with future CCA-featuring hardware. KrustVM could be extended to run simultaneously with CCA and offer an alternative approach for hosting protected VMs. On CCA, the RMM running on the EL2 mode of the Realm world hosts VMs and protects them by interposing their enters/exits. Like KrustVM, the RMM could transfer control to the hypervisor on a VM exit to use its functionality. VM enters and exits to the hypervisor (which runs in the normal world) on CCA involves more costly world/mode switches (KVM (normal EL1) \leftrightarrow EL3¹ \leftrightarrow RMM (realm EL2) \leftrightarrow VM (realm EL1/EL0)) than KrustVM, which requires a simpler path that is exclusively in the normal world (KVM (normal EL1) \leftrightarrow Rcore (normal EL2) \leftrightarrow VM (normal EL1/EL0)). Although both CCA and KrustVM offer similar VM protection, KrustVM's efficient VM/hypervisor transitions could result in better performance.

Rust-based Systems. Recent work extended existing C/C++ systems with a Rust binding to enable a Rust-based programming environment. Rust-SGX [68] and RusTEE [67] wrapped the C/C++ TEE SDK and exposed a safe Rust API to enable Rust programming in TEE environments such as SGX and TrustZone. Similarly, the Rust-for-Linux [19] project added abstraction layers to the Linux kernel to facilitate Rust driver programming with Rust. Besides building a Rust binding, previous work re-implemented C-based components in virtualization systems with Rust. rust-vmm [55] rewrote a subset of QEMU's functionalities and separated them into libraries in Rust crates. Firecracker [2], crosvm [21], Cloud Hypervisor [43], and VMSH [63] extended the rust-vmm project with extra functionalities. These previous works built on top of existing core systems. In contrast, our work retrofitted Linux/KVM with a Rust-based TCB. HyperEnclave [28] relies on a Rust-based security monitor to enforce isolation between enclave TEEs. Unlike our work, the authors did not discuss the Rust monitor's implementation and its unsafe Rust usage.

Others [10, 12, 34, 48, 73] took a clean-slate approach to build an OS from scratch. Similar to our work, they aimed to limit unsafe Rust usage in their codebase and separated unsafe Rust in a small trusted component from the safe Rust codebase [10, 34, 48]. Our work goes beyond limiting and confining unsafe Rust and guarantees memory and lock safety. **Synchronization Primitives.** Message-passing-based synchronization enables concurrent executions to coordinate and synchronize through sending and receiving messages. Rust's standard library provides a message-passing API. Rust's type system allows developers to employ session types [24, 25] to enforce specified message-passing protocols. Past studies proposed customized session types to provide varying degrees of expressiveness. This includes but is not limited to

¹CCA integrates a secure monitor running in Arm's EL3 mode to mediate switches between Realm and normal world.

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basic binary session type [27], shared sessions [15], asynchronous message reordering [17], and exception handling [32, 33]. KrustVM adopts shared-state synchronization instead of message-passing for several reasons. First, while messagepassing-based synchronization is prevalent in microkernelbased hypervisors [23, 59], Linux/KVM leverages sharedstate synchronization. We extend this familiar mechanism, aiming to implement safe locking strategies that ensure data race freedom and maintain system availability. This approach preserves the performance efficiency inherent to shared-state synchronization. Unlike message-passing, which can incur significant overhead due to cross-core message exchange among concurrent executions, our locking-based approach facilitates efficient information exchange among multiple cores via shared memory regions. Moreover, our goal is to maintain Rcore's simplicity while providing essential VM protection functionalities. Message-passing support, such as Rust's existing message-passing APIs, typically relies on OS features like threading abstraction unavailable in Rcore's minimalist environment. Integrating message-passing synchronization into Rcore could unnecessarily complicate its codebase.

Other works [47, 58] have also implemented deadlockfree mechanisms for Rust. However, unlike our approach, these mechanisms do not support nested locking. On the other hand, [57, 61] ensure deadlock freedom for Rust while supporting nested locking. However, they rely on runtime resolution checks, which can introduce significant overhead during execution. In contrast, our approach that combines KMutex and RGFs adopts a static approach to eliminate deadlocks at compile time.

10 CONCLUSIONS

We have presented KrustVM, a secure multiprocessor KVM hypervisor that integrates a Rust-based Rcore to protect VM confidentiality and integrity against a compromised host Linux kernel. We exploited Rust's unique safety features, including the type system and lifetimes, and extended them in a novel way to ensure that Rcore's codebase is data race and deadlock-free. Additionally, we introduced the Safe Pointer type to secure Rcore's memory accesses. KrustVM accomplishes substantial security enhancements over KVM while preserving KVM's functionality and performance efficiency.

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